

9-28-01

## HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

09/27/01

JCP21  
PTD
**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
*(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))*

Attorney Docket No. 2316.1002-001

First Named Inventor or Application Identifier Walter H. Günzburg

Express Mail Label No. EL 762236487 US

965135  
9/27/01

Title of Invention Novel Recombinant DNA Vectors For Gene Therapy

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2.  Specification **[Total Pages [ 33 ]]**   
*(preferred arrangement set forth below)*
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to microfiche Appendix
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) **[Total Sheets [ 9 ]]**  
 Formal  Informal  
 Fig. of the Drawings for Publication
4.  Oath or Declaration/POA **[Total Pages [ ]]**
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
**[NOTE Box 5 below]**
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference *(useable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (*Appendix*)
7.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)  
**[ ] Pages**
  - c.  Statement verifying identity of above copies
8.  Assignment Papers (cover sheet & documents)  
 Assignees -
  - a. Bavarian Nordic Research Institute A/S; **and**
  - b. **GSF**-Gesellschaft Fur Umwelt Und Gesundheit GmbH
9.  37 C.F.R. 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
10.  English Translation Document (*if applicable*)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
14.  Small Entity  Statement(s)  Statement filed in prior application, status still proper and desired
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Nonpublication Request (*check parent application*)
17.  Other: \_\_\_\_\_

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 08/925,214

Prior application information: Examiner: S. Foley Group Art Unit: 1648

**19. CORRESPONDENCE ADDRESS**

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Submitted by Typed or Printed Name	Anne J. Collins	Reg. Number	40,564	